

# SASB Index

## Asset Management & Custody Activities Sustainability Accounting Standard

SASB Topic	SASB Code	Accounting Metric	Category	Response
Transparent Information & Fair Advice for Customers	FN-AC-270a.2	Total amount of monetary losses as a result of legal proceedings associated with marketing and communication of financial product related information to new and returning customers	Quantitative	2021 Sustainability Report – ESG Data Table – Ethics and compliance (Page 61)
	FN-AC-270a.3	Description of approach to informing customers about products and services	Discussion and Analysis	2021 Sustainability Report – Ethics and compliance (Page 39) 2021 Sustainability Report – Responsible marketing and sales and Knowing our clients and products (Page 41) 2021 Sustainability Report – Privacy (Page 47)
Employee Diversity & Inclusion	FN-AC-330a.1	Percentage of gender and racial/ethnic group representation for (1) executive management, (2) non-executive management, (3) professionals, and (4) all other employees	Quantitative	2021 Sustainability Report – ESG Data Table – Diversity, equity and inclusion (Page 67)
Incorporation of Environmental, Social, and Governance Factors in Investment Management & Advisory	FN-AC-410a.1	Amount of assets under management, by asset class, that employ (1) integration of environmental, social, and governance (ESG) issues, (2) sustainability themed investing, and (3) screening	Quantitative	<a href="#">IG Wealth Management PRI Transparency Report</a> <a href="#">Mackenzie Investments PRI Transparency Report</a>
	FN-AC-410a.2	Description of approach to incorporation of environmental, social, and governance (ESG) factors in investment and/or wealth management processes and strategies	Discussion and Analysis	2021 Sustainability Report – Advancing sustainable investing (Page 24) <a href="#">IG Wealth Management Sustainable Investing Policy</a> <a href="#">Mackenzie Investments Sustainable Investing Policy</a> <a href="#">Counsel Portfolio Services Sustainable Investing Policy</a>
	FN-AC-410a.3	Description of proxy voting and investee engagement policies and procedures	Discussion and Analysis	<a href="#">IG Wealth Management PRI Transparency Report</a> <a href="#">Mackenzie Investments PRI Transparency Report</a>
Business Ethics	FN-AC-510a.1	Total amount of monetary losses as a result of legal proceedings associated with fraud, insider trading, anti-trust, anti-competitive behaviour, market manipulation, malpractice, or other related financial industry laws or regulations	Quantitative	2021 Sustainability Report – ESG Data Table – Ethics and compliance (Page 61)
	FN-AC-510a.2	Description of whistleblower policies and procedures	Discussion and Analysis	2021 Sustainability Report – Ethics and compliance (Page 39) <a href="#">Whistleblower Policy</a>
Activity Metrics	FN-AC-000.B	Total AUM	Quantitative	\$277.1B in Assets Under Management and Advisement at December 31, 2021.