

SASB Index

Asset Management & Custody Activities Sustainability Accounting Standard

SASB Topic	SASB Code	Accounting Metric	Category	Response
Transparent Information & Fair Advice for Customers	FN-AC-270a.2	Total amount of monetary losses as a result of legal proceedings associated with marketing and communication of financial product related information to new and returning customers	Quantitative	2021 Sustainability Report – ESG Data Table – Ethics and compliance (Page 61)
	FN-AC-270a.3	Description of approach to informing customers about products and services	Discussion and Analysis	2021 Sustainability Report – Ethics and compliance (Page 39) 2021 Sustainability Report – Responsible marketing and sales and Knowing our clients and products (Page 41) 2021 Sustainability Report – Privacy (Page 47)
Employee Diversity & Inclusion	FN-AC-330a.1	Percentage of gender and racial/ethnic group representation for (1) executive management, (2) non-executive management, (3) professionals, and (4) all other employees	Quantitative	2021 Sustainability Report – ESG Data Table – Diversity, equity and inclusion (Page 67)
Incorporation of Environmental, Social, and Governance Factors in Investment Management & Advisory	FN-AC-410a.1	Amount of assets under management, by asset class, that employ (1) integration of environmental, social, and governance (ESG) issues, (2) sustainability themed investing, and (3) screening	Quantitative	IG Wealth Management PRI Transparency Report Mackenzie Investments PRI Transparency Report
	FN-AC-410a.2	Description of approach to incorporation of environmental, social, and governance (ESG) factors in investment and/or wealth management processes and strategies	Discussion and Analysis	2021 Sustainability Report – Advancing sustainable investing (Page 24) IG Wealth Management Sustainable Investing Policy Mackenzie Investments Sustainable Investing Policy Counsel Portfolio Services Sustainable Investing Policy
	FN-AC-410a.3	Description of proxy voting and investee engagement policies and procedures	Discussion and Analysis	IG Wealth Management PRI Transparency Report Mackenzie Investments PRI Transparency Report
Business Ethics	FN-AC-510a.1	Total amount of monetary losses as a result of legal proceedings associated with fraud, insider trading, anti-trust, anti-competitive behaviour, market manipulation, malpractice, or other related financial industry laws or regulations	Quantitative	2021 Sustainability Report – ESG Data Table – Ethics and compliance (Page 61)
	FN-AC-510a.2	Description of whistleblower policies and procedures	Discussion and Analysis	2021 Sustainability Report – Ethics and compliance (Page 39) Whistleblower Policy
Activity Metrics	FN-AC-000.B	Total AUM	Quantitative	\$277.1B in Assets Under Management and Advisement at December 31, 2021.